

**Stock, Gordon J.**

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**From:** colbpat@stc.co.il  
**Sent:** Tuesday, February 24, 2004 3:50 AM  
**To:** Stock, Gordon J.  
**Cc:** global@stc.co.il  
**Subject:** Re: 39024 Fw: USSN 10/037,925 INTERVIEW ON WEDNESDAY FEB 25 4:30 PM

Please see the attached PDF file containing the Applicant Initiated Interview Request Form and the proposed amendment.

Respectfully submitted,

Sanford T. Colb

----- Original Message -----

**From:** Stock, Gordon J.  
**To:** global@stc.co.il  
**Sent:** Monday, February 23, 2004 6:25 PM  
**Subject:** RE: USSN 10/037,925 INTERVIEW ON WEDNESDAY FEB 25 4:30 PM

Mr. Colb:

That would be fine. However, I do need an Applicant Initiated Interview Request Form with the proposed amendment (PTOL-413A) in order to have a complete record of the interview for the file.  
MPEP 713.01, 713.04.

Thank you.

—Gordon Stock

-----Original Message-----

**From:** global@stc.co.il [mailto:global@stc.co.il]  
**Sent:** Friday, February 20, 2004 5:45 AM  
**To:** Stock, Gordon J.  
**Subject:** USSN 10/037,925 INTERVIEW ON WEDNESDAY FEB 25 4:30 PM

I PROMISED TO HAVE THE PROPOSED CLAIM AMENDMENTS FOR YOU ON MONDAY MORNING. UNFORTUNATELY, I TOOK THE WRONG MATERIALS WITH ME TO THE USA AND THE CORRECT OFFICIAL ACTION IS BEING EMAILED TO ME TODAY. I WILL HAVE THE PROPOSED AMENDMENTS TO YOU BY TUESDAY MORNING.

I APOLOGIZE FOR THE INCONVENIENCE.

RESPECTFULLY SUBMITTED,

SANFORD T. COLB

2/27/04

## Applicant Initiated Interview Request Form

Application No.: 10 / 037,925 First Named Applicant: Eyal Shekel  
Examiner: Gordon Stock Art Unit: 2877 Status of Application: pending

### Tentative Participants:

(1) Sanford T. Colb (2) \_\_\_\_\_  
(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: 02/25/2004 Proposed Time: 4:30 (AM/PM)

### Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

## Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

### Brief Description of Arguments to be Presented:

Please see the attached proposed amendment

An interview was conducted on the above-identified application on 2/25/04.

### NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

\_\_\_\_\_  
(Applicant/Applicant's Representative Signature)

\_\_\_\_\_  
(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Proposed Amendment USSN 10/037,925

Cancel claims 1 - 7, 11 - 13 and 15 - 54 without prejudice.

8. (Amended) A method of alignment, comprising the steps of:

5       holding a first optical element in opposition to a second optical element for interalignment therewith, said second optical element including a plurality of receivers including a first marginal receiver and a second marginal receiver, said first optical element having a first axis and a second axis, and  
10       said second optical element having a third axis and a fourth axis;

detecting a plurality of light signals that pass from said first optical element to said second optical element, said light signals including a first light signal that impinges on  
15       said first marginal receiver, and a second light signal that impinges on said second marginal receiver;

in a first phase of operation rotating said first optical element about a Y-axis until said second axis is in a parallel alignment with said fourth axis; and

20       in a second phase of operation displacing said first optical element along said Y-axis;

while displacing said first optical element along said Y-axis, recording a signal strength of one of said first light signal and said second light signal; and

25       displacing said first optical element along a Z-axis until said signal strength has an optimal value, [The method according to claim 1] further comprising the steps of:

in said first phase of operation displacing said first optical element stepwise on an interval of said Z-axis,  
30       defining a plurality of incremental positions thereon;

at each of said incremental positions displacing said first optical element on an interval of said Y-axis;

while said step of displacing said first optical element on said interval of said Y-axis is being performed, determining a function of said first light signal and determining a [said] function of said second light signal;

5 after said step of displacing said first optical element stepwise on said interval of said Z-axis has been performed, determining a first point on said Z-axis where said function of said first light signal has a first optimum value and a second point on said Z-axis where said function of said  
10 second light signal has a second optimum value;

calculating a difference  $\Delta Z$  between said second point and said first point;

responsive to said step of calculating rotating said first optical element about said Y-axis to reduce a distance between said first marginal receiver and said second  
15 point.

9. (Original) The method according to claim 8, wherein said step of rotating said first optical element about said Y-axis comprises rotation by an angle  $\theta$  that is given by

20 
$$\theta = \sin^{-1} (\Delta Z/d)$$

where  $d$  is a displacement between said first marginal receiver and said second marginal receiver.

10. (Original) The method according to claim 8, wherein said function is a full-width half maximum, said first optimum value  
25 and said second optimum value are each a minimum value of said function.

14. (Amended) A method of alignment, comprising the steps of:

holding a first optical element in opposition to a second optical element for interalignment therewith, said second  
30 optical element including a plurality of receivers including a first marginal receiver and a second marginal receiver, said

first optical element having a first axis and a second axis, and  
said second optical element having a third axis and a fourth  
axis;

5       detecting a plurality of light signals that pass from  
said first optical element to said second optical element, said  
light signals including a first light signal that impinges on  
said first marginal receiver, and a second light signal that im-  
pinges on said second marginal receiver;

10       in a first phase of operation rotating said first opti-  
cal element about a Y-axis until said second axis is in a paral-  
lel alignment with said fourth axis; and

in a second phase of operation displacing said first  
optical element along said Y-axis;

15       while displacing said first optical element along said  
Y-axis, recording a signal strength of one of said first light  
signal and said second light signal; and

displacing said first optical element along a Z-axis  
until said signal strength has an optimal value, [The method ac-  
cording to claim 1] further comprising the steps of:

20       in a first iteration: displacing said first opti-  
cal element on an interval of said Y-axis;

while said step of displacing said first optical  
element is being performed in said first iteration, determining  
a first point on said Y-axis wherein a first signal has a first  
25       maximum magnitude, and determining a first magnitude of a second  
signal at said first point;

rotating said first optical element about said Z-  
axis by a first increment;

30       in a second iteration: displacing said first opti-  
cal element on said interval of said Y-axis;

while said step of displacing said first optical  
element is being performed in said second iteration, determining  
a second point on said Y-axis wherein said first light signal

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has a second maximum magnitude, and determining a second magnitude of said second light signal at said second point;

responsive to a difference between said first magnitude and said second magnitude, rotating said first optical  
5 element about said Z-axis by a second increment.